

PATENT  
81716.0112

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Shinichi KORIYAMA

Serial No: 10/696,745

Filed: October 29, 2003

For: High Frequency Line-to-Waveguide  
Converter and High Frequency  
Package

Art Unit: 2817

Examiner: Takaoka, Dean O.

**TRANSMITTAL OF INFORMATION  
DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sirs:

1. ☐ The information disclosure statement submitted herewith is being filed within three months of the filing date of the application other than a continued prosecution application, or within three months of the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114, whichever event occurs last. 37 C.F.R. §1.97(b).
2. ☒ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(b), but *before* the mailing date of a final action under §1.113, or a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. A statement specified in §1.97(e) or a fee set forth in §1.17(p) is included. 37 C.F.R. §1.97(c).

**§1.97(e) STATEMENT**

I, the person signing below, state:

- ☒ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450, on  
November 22, 2005  
Date of Deposit  
Shindale Ferguson  
Name  
November 22, 2005  
Date  
Signature

§1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

**OR FEE**

- ☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00). [OR:] Please charge the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c) (\$180.00) to Deposit Account No. 50-1314. A copy of this petition is enclosed.

3. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(c), but before, or simultaneously with the payment of the issue fee. A statement specified in §1.97(e) and a fee set forth in §1.17(p) are included. 37 C.F.R. §1.97(d).

**§1.97(e) STATEMENT**

I, the person signing below, state:

- ☐ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

**OR**

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

**AND FEE**

- ☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(d). (\$180.00).
4. ☒ If it should be determined that for any reason either an insufficient fee or an excessive has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 50-1314. **A copy of this petition is enclosed.**
5. ☒ A list of 2 reference(s) is in the enclosed Form PTO-1449.

NON-ENGLISH LANGUAGE REFERENCES

- ☒ Enclosed is a German language office action and partial English translation for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
- ☐ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

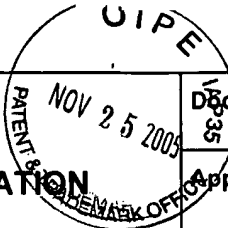
Respectfully submitted,  
HOGAN & HARTSON L.L.P.

By: 

Lawrence J. McGuire  
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Date: November 22, 2005

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Docket Number (Optional)  
81716.0112Application Number  
10/696,745

# **INFORMATION DISCLOSURE CITATION IN AN APPLICATION**

(Use several sheets if necessary)

Applicant

Shinichi KORIYAMA

Filing Date  
October 29, 2003Group Art Unit  
2817

## **U.S. PATENT DOCUMENTS**

| EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE     | NAME   | CLASS | SUBCLASS | FILING DATE IF<br>APPROPRIATE |
|---------------------|-----------------|----------|--------|-------|----------|-------------------------------|
|                     | 6,060,959       | 05/09/00 | YAKUWA |       |          |                               |
|                     |                 |          |        |       |          |                               |
|                     |                 |          |        |       |          |                               |
|                     |                 |          |        |       |          |                               |
|                     |                 |          |        |       |          |                               |
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|                     |                 |          |        |       |          |                               |
|                     |                 |          |        |       |          |                               |
|                     |                 |          |        |       |          |                               |

## **FOREIGN PATENT DOCUMENTS**

|  | DOCUMENT NUMBER | DATE     | COUNTRY | CLASS | SUBCLASS | Translation |    |
|--|-----------------|----------|---------|-------|----------|-------------|----|
|  |                 |          |         |       |          | YES         | NO |
|  | 0 905 814       | 03/31/99 | EPO     |       |          |             |    |
|  |                 |          |         |       |          |             |    |
|  |                 |          |         |       |          |             |    |
|  |                 |          |         |       |          |             |    |
|  |                 |          |         |       |          |             |    |
|  |                 |          |         |       |          |             |    |

## **OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.